

Electronic Acknowledgement Receipt

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| EFS ID: | 8475871 |
| Application Number: | 10799205 |
| International Application Number: | |
| Confirmation Number: | 7411 |
| Title of Invention: | Block trading system and method providing price improvement to aggressive orders |
| First Named Inventor/Applicant Name: | Henri Waelbroeck |
| Customer Number: | 32137 |
| Filer: | Steven D. Underwood/Ruth Montalvo |
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| Attorney Docket Number: | 28655.001 |
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| Application Type: | Utility under 35 USC 111(a) |

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| Submitted with Payment | no |
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File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|---------------------------------|--|------------------|------------------|
| 1 | | 28655-001-IDS-SB08a-9-22-10.pdf | 172316 decc64eba295533c8e72af26cfc40247c165c513 | yes | 5 |

| | Multipart Description/PDF files in .zip description | | |
|--|--|-------|-----|
| | Document Description | Start | End |
| | Transmittal Letter | 1 | 4 |
| | Information Disclosure Statement (IDS) Filed (SB/08) | 5 | 5 |

Warnings:

Information:

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|---|-------------------|--------------------------|--|----|----|
| 2 | Foreign Reference | 28655-WOref-02097589.pdf | 1193298 | no | 29 |
| | | | d5a3a4ea93ca8c1ee6d04ab8708511cb4e0f85a2 | | |

Warnings:

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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